

| <b>FATCA-CRS Declaration &amp; Supplementary KYC Information</b><br><b>Declaration Form for Individuals</b><br><i>Please seek appropriate advice from your tax professional on your tax residency, related FATCA &amp; CRS guidance</i> |                                                                                                                                                                                                                                 |  |  |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |  |  |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|----------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| PAN / PEKRN*                                                                                                                                                                                                                            |                                                                                                                                                                                                                                 |  |  |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |  |  |  |
| Name                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                 |  |  |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |  |  |  |
| Address Type<br>[for KYC<br>address]                                                                                                                                                                                                    | <input type="checkbox"/> Residential<br><input type="checkbox"/> Registered Office<br><input type="checkbox"/> Business                                                                                                         |  |  | Nationality                                        | <input type="checkbox"/> Indian <input type="checkbox"/> US <input type="checkbox"/> Others <i>(please specify)</i>                                                                                                                                                                                                                                                                                                                                           |  |  |  |  |
| Place of Birth                                                                                                                                                                                                                          |                                                                                                                                                                                                                                 |  |  | Country of Birth                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |  |  |  |
| Gross Annual<br>Income Details<br>in INR                                                                                                                                                                                                | <input type="checkbox"/> Below 1 Lakh <input type="checkbox"/> 1-5 Lacs<br><input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs<br><input type="checkbox"/> 25 Lacs - 1 Cr <input type="checkbox"/> > 1 Crore |  |  | Occupation<br>Details [Please<br>tick any one (✓)] | <input type="checkbox"/> Business <input type="checkbox"/> Professional<br><input type="checkbox"/> Public Sector <input type="checkbox"/> Private Sector<br><input type="checkbox"/> Government Service<br><input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife<br><input type="checkbox"/> Student <input type="checkbox"/> Retired<br><input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Others [Please specify] _____ |  |  |  |  |
| Net Worth in<br>INR. In Lacs &<br>Date [Optional]                                                                                                                                                                                       | _____ <i>dd-mmm-yyyy</i>                                                                                                                                                                                                        |  |  |                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |  |  |  |
| Politically<br>Exposed Person<br>[PEP]                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input type="checkbox"/> Related to PEP<br><input type="checkbox"/> Not Applicable                                                                                                                 |  |  | Any other<br>information [if<br>applicable]        | [Please specify]                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |  |  |  |

Are you a tax resident (i.e. are you assessed for Tax) in any other country other than India?   Yes ☐ No ☐

**If 'Yes', please fill for all countries** (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries

| S. No. | Country of Tax Residency | Tax Identification Number (TIN) or Functional Equivalent | Identification Type [TIN or other, please specify] | If TIN is not available, please tick <input checked="" type="checkbox"/> the reason A, B or C [as defined below] |
|--------|--------------------------|----------------------------------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| 1      |                          |                                                          |                                                    | → Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>                        |
| 2      |                          |                                                          |                                                    | → Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>                        |

- Reason A → The country where the Account Holder is liable to pay tax does not issue TIN to its residents.  
 ➤ Reason B → No TIN required [Select this reason only if the authorities of the respective country of tax residence do not required the TIN to be collected]  
 ➤ Reason C → Others – Please specify the reasons \_\_\_\_\_

#### Declaration:

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that I may liable for it. I hereby authorize you [CAMS/Fund/AMC] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ("the Authorized Parties") or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days and also undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities. I/We authorize Fund/AMC/RTA to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions given below and hereby accept the same

Date:  
Place:

Signature:

#### Acknowledgement

We [\_\_\_\_\_ on behalf of participating Mutual Funds] acknowledge the receipt of FATCA/CRS declaration form duly filled and signed from Mr. / Ms. / M/s. \_\_\_\_\_ PAN \_\_\_\_\_ on *dd-mmm-yyyy*

Date:

Signature with Name, Emp. ID & Seal



## FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which require Indian financial institutions such as the Banks/other financial entities to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

## FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green-card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

| <b>FATCA &amp; CRS Indicia observed (ticked)</b>         | <b>Documentation required for Cure of FATCA/ CRS indicia</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| U.S. place of birth                                      | <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND</li> <li>3. Any one of the following documents:<br/> Certified Copy of "Certificate of Loss of Nationality<br/> <b>or</b> Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;<br/> <b>or</b> Reason the customer did not obtain U.S. citizenship at birth</li> </ol>                                     |
| Residence/mailling address in a country other than India | <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b></li> <li>2. Documentary evidence (refer list below)</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                          |
| Telephone number in a country other than India           | <p><b><i>If no Indian telephone number is provided</i></b></p> <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b></li> <li>2. Documentary evidence (refer list below)</li> </ol> <p><b><i>If Indian telephone number is provided along with a foreign country telephone number</i></b></p> <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; <b>OR</b></li> </ol> |
| Telephone number in a country other than India           | <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b></li> <li>2. Documentary evidence (refer list below)</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                          |

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. **Certificate** of residence issued by an authorized government body\*
2. Valid **identification** issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

**\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**



